

### 1. FSP Information

I am currently contracted to **Altron FinTech**, a division of **Altron TMT (Pty) Ltd** (an Authorised Financial Services Provider – FSP **50256**) as a Representative, where I am able to assist my clients with a complete range of products and services. See more disclosure information on the FSCA <u>website</u> about our FSP.

### 2. Key Individual/Management of the FSP

Name: AC Klopper Du Toit Contact No: 079 896 0990 Email address: anette@akdconsulting.co.za Office: 011 617 1700

## 3. Representative of FSP

Representative: AC Klopper Du Toit Contact No: 079 896 0990 Email address: anette@akdconsulting.co.za Status: Fully qualified

The FSP certifies that the above-mentioned person is registered as a representatives and has a service contract to represent the FSP. The FSP accepts responsibility for the activities that the abovementioned representative performs within the scope of his/her service contract. The FSP is satisfied that the representative is competent to act when rendering financial services on behalf of the FSP, taking into consideration the personal character qualities of honesty and integrity, competence and operational ability, as defined in the Fit and Proper requirements of FAIS.

### 4. External Compliance Officers

Name & Surname: Adriaan van Wyk Contact No: 072 351 1653 Email address: adriaan@horizoncompliance.co.za CO no: 6517 Company: Horizon Compliance (Pty) Ltd Approval No: 6870

## 5. Authorisation to Provide Financial Services

The license authorises the licensee to carry on business as a financial services provider for intermediary services:

Sub-categories	Intermediary Services
Long-Term Insurance subcategory A	х
Short-Term Insurance Personal Lines	x
Long-Term Insurance subcategory B1	х
Long-term insurance subcategory B2	х
Long-term Insurance subcategory B2-A	х
Long-term Insurance subcategory B1-A	х
Short-term Insurance Personal Lines A1	х
Short-Term Insurance Commercial Lines	x

# 6. General

The FSP is committed to following the principles of Treating Customers Fairly and adheres to the FAIS Act and the General Code of Conduct for Financial Services Providers and Representatives. The FSP holds appropriate professional indemnity insurance cover.

A Complaints and Conflict of Interest Policy is in place and is available at your request.

No specific exemptions or the existence of any exemptions have been granted or made by the registrar with regard to any matter covered by the act, concerning the abovementioned financial services provider.

# 7. FAIS Ombud Details

Telephone: 012 762 5000 Email: info@faisombud.co.za

Website: www.faisombud.co.za Share call: 086 066 3247

## 8. Conflict of Interest Disclosure

# Shareholding:

We have no shareholding of more than 10% in any other Product Provider that we are contracted to or work with.

### **Remuneration Profile:**

In the preceding 12 months, we have not received more than 30% in total remuneration from a specific product supplier: